

Serial No. 09/854962

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Patent Application

Inventor(s): Ioannis Kriaras  
Sudeep Kumar Palat  
Hatef Yamini  
Jin Yang

COPY OF PAPERS  
ORIGINALLY FILED

Case: 3-11-9-7

Serial No.: 09/854962

Group Art Unit:

Filing Date: May 14, 2001

Examiner: Beverly M. Flanagan

Title: Telephone Systems

I hereby certify that this correspondence is being deposited with the United States Postal Service in first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC, 20231, on 2/6/02.

Guthrie F. Dwyer  
Signature

February 6, 2002  
Date of Signature

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231

SIR:

**Request for Reconsideration of Petition Under 37 CFR 1.47(a)**

Enclosed are the Assignment and Agreement with Recordation Cover Sheet and the Declaration and Power of Attorney which have been signed by Inventor Ioannis Kriaras relating to the above-identified application. His signature was missing from the previously filed documents and we have been able to obtain it.

A copy of the Response to the Petition Under 37 CFR 1.47(a) from the U.S.P.T.O. is also enclosed.

In the event of nonpayment or improper payment of a required fee, the Commissioner is authorized to charge or credit **Lucent Technologies Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

Julio A. Garceran

Julio A. Garceran  
Attorney for applicants  
Reg. No. 37,138  
(973) 386-2286

Date: 2/6/02

Atts.: Assignment and Agreement w/Recordation Cover Sheet  
Declaration and Power of Attorney  
Copy of the Response to the Petition Under 37 CFR 1.47(a)

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RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Ioannis Kriaras
2. Sudeep Kumar Palat
3. Hatef Yamini
4. Jin Yang

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date(s): 1/16/02; 3/16/01; 5/25/01; 3/16/01

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/354,962

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3J-219

Street Address: 101 Crawfords Corner Road

City: Holmdel State: NJ ZIP: 07733-3030

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Julio A. Garceran

Name of Person Signing

Julio A. Garceran  
Signature

2/6/02  
Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignment  
Washington, D.C. 20231

Case Name/No.: Kriaras 4-13-11-9

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on 2/6/02

Julio A. Garceran  
Signature

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**ASSIGNMENT AND AGREEMENT****COPY**

For value received, we, Ioannis Kriaras, of Bradenstoke in the County of Wiltshire and the Country of Great Britain, and Sudeep Kumar Palat, of Grange Park in the County of Wiltshire and the Country of Great Britain, and Hatef Yamini, of Swindon in the County of Wiltshire and the Country of Great Britain, and Jin Yang, of Swindon in the County of Wiltshire and the Country of Great Britain, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Telephone Systems** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

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We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

I. Kriaras

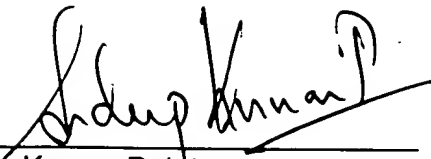
Ioannis Kriaras

Date: 16.1.2

On this 16<sup>th</sup> day of January, 20 02, before me personally came, Ioannis Kriaras to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

C. J. K.  
Commissioner for Oaths

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Sudeep Kumar Palat

Date: 16/03/01

On this 16th day of March, 2001, before me personally came, Sudeep Kumar Palat to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Commissioner for Oaths

LESLEY A. STONE  
LEGAL EXECUTIVE  
Bower & Bailey  
Cambridge House  
College Court  
Regent Circus  
Swindon SN1 1PZ

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\_\_\_\_\_  
Jin YangDate: 25 May 2001

On this 25<sup>th</sup> day of May, 2001, before me personally came, Jin Yang to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Commissioner for Oaths

**Robert D Millbourn**  
**Solicitor**  
**Bower & Bailey**  
**Cambridge House**  
**4 College Court**  
**Regent Circus**  
**Swindon SN1 1PJ**

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636

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Hatef YaminiDate: 16/03/01

On this 16th day of March, 2001, before me personally came, Hatef Yamini to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Commissioner for Oaths

LESLEY A. STONE  
LEGAL EXECUTIVE  
Bower & Poy  
Cambridge Road  
College Street  
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